

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 0 1 2001

TEUH CENTER 1600/2900

Applicant:

Braun et al.

Serial No.:

09/687,483

Filed:

October 13, 2000

For:

METHODS FOR GENERATING

DATABASES AND DATABASES FOR

IDENTIFYING POLYMORPHIC GENETIC

MARKERS

Art Unit:

1645

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents

Washington, D.C. 20231, on this date.

03/27/01 Date

Lynn Morkunas

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Under **APPLICANT(S)**, please correct the spelling of inventor Dirk VAN DEN BOOM's last name: change "Dirk VAN DAN BOOM" to -Dirk VAN DEN BOOM-

U.S.S.N. 09/687,483
Braun et al.
REQUEST FOR CORRECTED FILING RECEIPT

Applicants submit that the above-indicated error is an error made on the part of the Office, rather than by Applicants, and respectfully request that this error be corrected and Applicants be provided a corrected filing receipt.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully \$\u00e4bmitted,

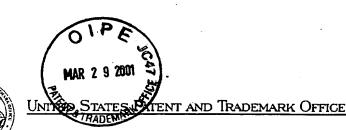
HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanie Seidman Registration No. 33,779

SLS/Im
Attorney Docket 24736-2033
Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4250 Executive Square, 7th Floor
La Jolla, California 92037
Telephone: (858) 450-8400

Telephone: (858) 450-8400 E-mail: sseidman@HEWM.com



TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER 09/687.483

FILING DATE 10/13/2000 **GRP ART UNIT** 1645

1620

FIL FEE REC'D ATTY.DOCKET.NO 24736-2033

DRAWINGS 49

TOT CLAIMS 100

IND CLAIMS 15

Stephanie Seidman Heller Ehrman White & McAuliffe LLP 4250 Executive Square 7th Floor La Jolla, CA 92037

FILING RECEIPT

OC0000000058707651

Date Mailed: 03/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

DEN

Hubert Koster, La Jolla, CA:

Andreas Braun, San Diego, CA ;

Dirk Van Dan Boom, Hamburg, GERMANY;

Yip Ping, San Diego, CA; Charlie Rodi, Del Mar, CA;

Liyan He, San Diego, CA;

Norman Chiu, San diego, CA;

Christian Jurinke, Hamburg, GERMANY;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/217.658 07/10/2000 WHICH CLAIMS BENEFIT OF 60/159,176 10/13/1999 WHICH CLAIMS BENEFIT OF 60/217.251 07/10/2000 WHICH IS A CIP OF 09/663,968 09/19/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/31/2001

** SMALL ENTITY **

Title

Method for generating databases and databases for identifying polymorphic genetic markers

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Titl 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Preliminary Class 435

Data entry by : GARNETT, SANDRA Team : OIPE Date: 03/16/2001





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov



CONFIRMATION NO. 7859

Bib Data Sheet						
SERIAL NUMBE 09/687,483	FILING DATE 10/13/2000 RULE	CLASS 435	GROUP AR	T UNIT	ATTORNEY DOCKET NO. 24736-2033	
APPLICANTS						
	er, La Jolla, CA;			F	RECEIVE	
Andreas Bra Dirk VAN DI Yip Ping, Sa			AUG 0 1 2001			
Charlie Rodi, Del Mar, CA; Liyan He, San Diego, CA; Norman Chiu, San diego, CA; Christian Jurinke, Hamburg, GERMANY;			TECH CENTER 1600/29			
** CONTINUING D)ATA ******************	***				
AND CLAIN AND CLAIN	N CLAIMS BENEFIT OF 6 IS BENEFIT OF 60/159,1 IS BENEFIT OF 60/217,2 OF 09/663,968 09/19/200	76 10/13/1999 51 07/10/2000				
** FOREIGN APP	LICATIONS **********	****				
IF REQUIRED, FO GRANTED ** 01/3	DREIGN FILING LICENSI	E ** SMALL I	ENTITY **			
Foreign Priority claimed	u yes u no	STATE OR	SHEETS	TOTA	AL INDEPENDEN	
35 USC 119 (a-d) condi met Verified and	Allowance	fter COUNTRY CA	DRAWING 49	CLAIN 100	NS CLAIMS	
Acknowledged ADDRESS	Examiner's Signature Ir	nitials		<u> </u>		
STEPHANIE L. SE	N WHITE & McAULIFFE					
TITLE						
Method for genera	ating databases and datab	pases for identifying po	lymorphic gen	etic mark	ers	
			□ _{All}	☐ All Fees		
		1.16 Fees (Filing)				
FILING FEE	EES: Authority has been	1.17 Fees (Processing Ext. of				
	lo to charge/d lo for followin					
			Othor			